

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<u>&amp;1</u>	
Application Serial No.	
Fling Date	May 6, 1997
Niventor	Sharad Mathur et al.
Application Serial No. Fling Date nventor Group Art Unit	2151
Examiner	Opie, George
Examiner	MSÍ-151US
Title: Controlling Memory Usage in Systems Ha	aving Limited Physical Memory

### **RESPONSE TO OFFICE ACTION DATED JUNE 6, 2001**

To: Commissioner of Patents and Trademarks,

Washington, D.C. 20231

RECEIVED

DEC 1 9 2001

Technology Center 2100

From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)

Lee & Hayes, PLLC

421 W. Riverside Ave., Suite 500

Spokane, WA 99201

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### In the Claims:

Claims 1-40 were previously pending.

Claims 1-40 are pending.

No claims are amended with this response.

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PTO/SB/17 (1001)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ired to respond to a collection of information unless it displays a valid OMB control number

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)	920.	00
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C	omplete if Known	
Application Number	08/852,158	5
Filing Date	May 06, 1997	> 0.
First Named Inventor	Mathur	Ex C
Examiner Name	Opie, George	70/0 X
Group Art Unit	3102	Sy.
Attorney Docket No.	MS1-151US	En.

METHOD OF PAYMENT FEE CALCULATION (continued		
1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES	
Deposit Deposit	Large Small Entity Entity	
Account Number 12-0769	Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	Fee Paid
Account Name Lee & Hayes, PLLC	105 130 205 65 Surcharge - late filing fee or oath	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
Applicant claims small entity status.	139 130 139 130 Non-English specification	
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination	
2. Payment Enclosed:	112 920* 112 920* Requesting publication of SIR prior to	
✓ Check ☐ Credit card ☐ Money ☐ Other	Examiner action	
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	
Large Entity Small Entity	116 400 216 200 Extension for reply within second month	000
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month	920
101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month	
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month	
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal	
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal	
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing	
SUBTOTAL (1) (\$) 0	138 1,510 138 1,510 Petition to institute a public use proceeding	
	140 110 240 55 Petition to revive - unavoidable	
2. EXTRA CLAIM FEES Fee from	141 1,280 241 640 Petition to revive - unintentional	
Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)	
Total Claims20** = X18	143 460 243 230 Design issue fee	
Claims A B4 = A Claims	144 620 244 310 Plant issue fee	-
Multiple Dependent	122 130 122 130 Petitions to the Commissioner	
Largo Entity Constl Entity	123 50 123 50 Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
104 280 204 140 Multiple dependent claim, if not paid  109 84 209 42 "Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)	
and over original patent	169 900 169 900 Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0.00	Other fee (specify)	
*for number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)	920.00

SUBMITTED BY		<del>.</del>		Complete (ii	applicable)
Name (Print/Type)	Allan T. Sponseller	Registration No. (Attorney/Agent)	38,318	Telephone	(509) 324-9256
Signature	ht-s			Date	12/6/01

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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

**Application Number** 08/852,158 **Filing Date** May 06, 1997 **First Named Inventor** Mathur Group Art Unit 3102 Opie, George **Examiner Name** Attorney Docket Number MS1-151US

Total Number of Pages in This Subm	ission 15	Attorney Dock	et Number	MS1-151US
	ENCL	OSURES	(check	all that apply)
Fee Transmittal Form Fee Attached  Amendment / Reply After Final Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing Licensin Petition Petition Provisio Change Address Termina Reques	ng-related Papers to Convert to a nal Application of Attorney, Revo		After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information  Status Letter Other Enclosure(s) (please identify below): Disk copy of Response Return Post Card
SIGNATU	RE OF APPLI	CANT, ATTOR	NEY, OR A	GENT
Firm or Individual name  Allan T. Sponseller				
Signature				
Date 12/6/8	9/			

#### **CERTIFICATE OF EXPRESS MAILING**

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as Express Mail, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. The Express Mail No. has also been marked on the listed items.

Express Mail No. 87748<sub>082</sub>

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